

(Print or Type Responses)

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

| OMB APPROVAL | | | | |
|--------------------------|-----------|--|--|--|
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| nours per response 0 | | | | |

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| Name and Address of Reporting Person * Smith Christopher H | 2. Date of Event Requiring Statement (Month/Day/Yea 01/28/2021 | | | 3. Issuer Name and Ticker or Trading Symbol Crown Electrokinetics Corp. [CRKN] | | | | |
|--|--|---|-----------------------------|--|-----------------------------------|---|--|--|
| (Last) (First) (Middle) 225 CANNON COURT EAST | 01/20/ | 2021 | | 4. Relationship of Reporting Person(s) to Issuer | | n(s) to | 5. If Amendment, Date Original Filed(Month/Day/Year) | |
| PONTE VEDRA BEACH, FL 32082 | | | - - | (Check all applicable) _X_ Director | | | 6. Individual or Joint/Group Filing(Check Applicable Line) Form filed by One Reporting Person Form filed by More than One Reporting Person | |
| (City) (State) (Zip) | Table I - Non-Derivative Securities Beneficially Owned | | | | | | wned | |
| 1.Title of Security (Instr. 4) | 2. Amount of Securitie Beneficially Owned (Instr. 4) | | ed | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Natur (Instr. 5 | Nature of Indirect Beneficial Ownership Instr. 5) | | |
| Common Stock 0 | | | D | | | | | |
| Reminder: Report on a separate line for each class of Persons who respond unless the form disp | d to the lays a cu | collection of urrently valid | information OMB contro | n contained in to ol number. | | • | | |
| nstr. 4) Expiration Date Securii (Month/Day/Year) Securii | | 3. Title and A Securities Ur Security (Instr. 4) | Amount of nderlying Derivat | Price of Derivative | Form of Derivativ Security: | of vative rity: | 5. Nature of Indirect Beneficial Ownership Instr. 5) | |
| Da Ex | te ercisable | Expiration Date | Title | Amount or Number of Shar | Security | | et (D) or ect (I) r. 5) | |
| Call Option (1) | 2) | 12/01/2030 | Common Stock | 33,333 | \$ 4.5 | | D | |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | |
|---|---------------|--------------|---------|-------|--|--|
| | Director | 10% Owner | Officer | Other | | |
| Smith Christopher H 225 CANNON COURT EAST PONTE VEDRA BEACH, FL 32082 | X | | | | | |

Signatures

| /s/ Christopher Smith | 01/28/2021 |
|---------------------------------|------------|
| **Signature of Reporting Person | Date |

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Issued pursuant to the Issuer's 2016 Equity Incentive Plan.
- (2) Options to purchase 33,333 shares of the Issuer's Common Stock, all of which have vested.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.