

## INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person* <b>CROXALL DOUGLAS B</b> (Last) (First) (Middle) <b>C/O CROWN ELECTROKINETICS CORP., 1110 NE CIRCLE BLVD.</b> (Street) <b>CORVALLIS, OR 97330</b> (City) (State) (Zip)	2. Date of Event Requiring Statement (Month/Day/Year) <b>01/28/2021</b>	3. Issuer Name and Ticker or Trading Symbol <b>Crown Electrokinetics Corp. [CRKN]</b>	
		4. Relationship of Reporting Person(s) to Issuer (Check all applicable) <input type="checkbox"/> Director <input checked="" type="checkbox"/> 10% Owner <input checked="" type="checkbox"/> Officer (give title below) <input type="checkbox"/> Other (specify below) <b>Chief Executive Officer</b>	5. If Amendment, Date Original Filed(Month/Day/Year)
		6. Individual or Joint/Group Filing (Check Applicable Line) <input checked="" type="checkbox"/> Form filed by One Reporting Person <input type="checkbox"/> Form filed by More than One Reporting Person	

### Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Common Stock <sup>(1)</sup>	1,829,294	I	In Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

### Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of Derivative Security	5. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares			
Call Option <sup>(2)</sup>	<sup>(3)</sup>	02/28/2028	Common Stock	166,666	\$ 0.15	D	
Call Option <sup>(2)</sup>	<sup>(3)</sup>	01/17/2029	Common Stock	250,000	\$ 1.2	D	
Call Option <sup>(2)</sup>	<sup>(3)</sup>	04/13/2030	Common Stock	1,083,333	\$ 2.25	D	
Call Option <sup>(2)</sup>	<sup>(4)</sup>	12/30/2030	Common Stock	1,381,967	\$ 3.6	D	
Call Option <sup>(5)</sup>	<sup>(4)</sup>	12/30/2030	Common Stock	485,371	\$ 3.6	D	

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
CROXALL DOUGLAS B C/O CROWN ELECTROKINETICS CORP. 1110 NE CIRCLE BLVD. CORVALLIS, OR 97330		X	Chief Executive Officer	

## Signatures

/s/ Doug Croxall		01/29/2021
**Signature of Reporting Person		Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Consists of 1,302,628 shares of restricted stock, all of which has vested.

(2) Granted pursuant to the Issuer's 2016 Equity Incentive Plan.

(3) Options to purchase shares of the Issuer's Common Stock have vested and are currently exercisable.

(4) Options to purchase shares of the Issuer Common Stock granted on December 30, 2020 vest thirty (30) days after the date of such grant.

(5) Granted pursuant to the Issuer's 2020 Employee Incentive Plan

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.